

Assessing the spatiotemporal evolution of neuronal activation with single-trial event-related potentials and functional MRI

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The brain acts as an integrated information processing system, which methods in cognitive neuroscience have so far depicted in a fragmented fashion. Here, we propose a simple and robust way to integrate functional MRI (fMRI) with single trial event-related potentials (ERP) to provide a more complete spatiotemporal characterization of evoked responses in the human brain. The idea behind the approach is to find brain regions whose fMRI responses can be predicted by paradigm-induced amplitude modulations of simultaneously acquired single trial ERPs. The method was used to study a variant of a two-stimulus auditory target detection (oddball) paradigm that manipulated predictability through alternations of stimulus sequences with random or regular target-to-target intervals. In addition to electrophysiologic and hemodynamic evoked responses to auditory targets *per se*, single-trial modulations were expressed during the latencies of the P2 (170-ms), N2 (200-ms), and P3 (320-ms) components and predicted spatially separated fMRI activation patterns. These spatiotemporal matches, i.e., the prediction of hemodynamic activation by time-variant information from single trial ERPs, permit inferences about regional responses using fMRI with the temporal resolution provided by electrophysiology.

multimodal imaging | P3 pattern learning | target detection

Functional MRI (fMRI) of the blood oxygenation level-dependent (BOLD) response (BOLD-fMRI) measures local changes in brain hemodynamics associated with a cognitive process noninvasively with a high spatial resolution. However, an unsolved issue in fMRI research is the insufficient temporal resolution of the BOLD response. In contrast to the spatial resolution of BOLD-fMRI, event-related potentials (ERP) access the current induced by synaptic activity instantaneously, with an effective temporal resolution on the order of tens to hundreds of milliseconds in case of long-latency cortical responses. However, the location of underlying generators cannot be inferred with certainty. In combination, these two complementary noninvasive methods would allow for joint high-resolution spatial and temporal mapping of the mental process under investigation and add to a more complete understanding of the neural correlates of perception and cognition (1–3). In humans, this integrated spatial and temporal precision could so far be obtained only in direct intracranial recordings, usually performed in patients receiving brain surgery for treatment of epilepsy (4–7).

There are basically three approaches to multimodal integration: (i) through fusion, usually referring to the use of a common forward or generative model that can explain both the electroencephalogram (EEG) and fMRI data (8, 9); (ii) through constraints, where spatial information from the fMRI is used for a (spatiotemporal) source reconstruction of the EEG (10–12); and (iii) through prediction, where the fMRI signal is modeled as some measure of the EEG convolved with a hemodynamic response function, a principle used in our study.

Invasive recordings in animals have shown that the BOLD response is approximately linearly related to local changes in the underlying neuronal activity. The relationship appears to be stronger for the afferent pre- and postsynaptic processing, which produces the local field potential (LFP), than it is for the output from the neuron, i.e., spike rate or multiunit activity (13–16). The LFP is the basis for the scalp EEG and ERP when coherent at a more macroscopic scale (17), implying that spatiotemporal data integration can be achieved by investigating correlations between BOLD and scalp EEG/ERP. This can be done either continuously over time, as in the study of background rhythms (18–20) and epileptic discharges (21, 22) in the EEG, or in the context of inducing variation in a given cognitive operation (23–25). When a consistent relationship is detected, one can infer that the corresponding fMRI activation either directly represents the electric source or modulates remote generators (18–25). However, the temporal evolution of neuronal activation has not been addressed. To resolve this issue, we used the trial-to-trial variability of single-trial ERPs (26, 27) recorded simultaneously with the fMRI as predictors for hemodynamic responses to a variant of an auditory target detection (oddball) paradigm. In this design, infrequent targets were interspersed with frequent standard stimuli at random or regular intervals in an alternating way (see also Fig. 5, which is published as supporting information on the PNAS web site). Sequences of regularly spaced targets, i.e., patterns embedded in this design, affect the subjective predictability/expectancy (28, 29), and pilot experiments indicated that several components, at different latencies in the ERP, are modulated according to a sigmoid function of the number of times an interval is repeated, and learned. These amplitude modulations (AMs) develop across trials, on a timescale slow enough to be sampled with fMRI, and should be consistently correlated with the BOLD response in discrete brain regions across the observation time, assuming temporally and spatially independent neuronal generators (Fig. 1). fMRI responses that can be predicted by AMs in the ERP can be tied to the processing engaged at the time of the AMs. The approach thus allows inferences about

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Abbreviations: ERP, event-related potential; fMRI, functional MRI; BOLD, blood oxygenation level-dependent; EEG, electroencephalogram; AM, amplitude modulation; TTI, target-to-target interval.

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regional fMRI responses associated with “exogenous” features of the auditory evoked response and the motor task. The second stimulus function encoded the amplitude of the single-trial ERPs measured at each frame to find brain regions whose responses could be predicted by paradigm-induced amplitude modulations at that time frame/electrode, thus sensitive to predictability/pattern learning. This function was decorrelated (Schmidt–Gram orthogonalization) from the first, ensuring that activation related to the second function was specific to the electrophysiological measure and not to some general feature in the evoked response to targets. The regressors were entered into single-subject fixed-effects regression analyses; on the group level, random effects analyses were performed by entering the contrast images of each subject into one-sample *t* tests. fMRI activation to targets (first regressor) is significant at $P < 0.05$, family-wise error corrected, extent 10 voxel. AM-related activations (second regressor) are significant at $P < 0.001$ on the voxel level, cluster extent threshold $P < 0.01$, unless otherwise stated uncorrected for multiple comparisons. This threshold appears adequate in this experiment, because we were interested in the profile of responses and their colocalization with the auditory evoked responses per se, with maximal sensitivity. To minimize the risk of reporting Type I false-positive activation, we applied a descriptive criterion: results were considered reliable and reported only when same/similar activation patterns are replicated in adjacent time points and in two or more of the electrodes. A schematic of the analysis procedure is given in Fig. 2.

Curve Fitting. To illustrate the principal ERP amplitude modulations, hypotheses were tested by nonlinear regression analysis of N1, P2, N2, and P3 amplitudes in the frontocentral region of interest (i.e., the average of Fz, FC1, FC2, and Cz) and the response times. H0 assumed that the measure is insensitive to patterning, represented by a straight horizontal line; H1 assumed that the measure is sensitive to patterning and decreasing or increasing its amplitude, best described as a sigmoid function.

Results

Upon debriefing, all participants noted that targets had occasionally appeared rhythmically, indicating that they explicitly apprehended regular target sequences in the experiment. However, none of them was able to recollect whether these regular patterns were of constant length, or whether regular patterns alternated with random target sequences in succession, suggesting that the overall order of the experiment remained either unrecognized or was implicitly acquired.

Average ERPs. The sequence of cerebral processes leading to discrimination of a target stimulus in an active oddball condition may be indexed by a number of generic ERP components: N1, P2, mismatch negativity (MMN), N2b, P3a, and P3b (32). The extent to which components are detectable in the waveforms depends on experimental parameters. N1 and P2 typically tend to be enhanced under “attend” compared with “ignore” conditions (33). MMN, being an automatic response to changes in auditory stimulation, may be difficult to estimate because of overlapping components like the N2b, which is elicited by infrequent events in attended input, or when the difference between standard and deviant stimuli is relatively large, as in a standard oddball paradigm (32). N2b is usually followed by P3a, indicating a passive shift of attention, and the P3b (also labeled P3 or P300), which is particularly sensitive to task relevance, target probability, sequence, and TTI (23, 28, 29, 34–36). Fig. 3 displays the grand-average ERPs to standards, regular and random TTI target categories, along with results from a pointwise *t* statistic. After a sequence of midlatency responses and P1 (70–80 ms), a broad centrally distributed N1 (100–120 ms) emerges, followed by a more central-parietal P2 (160–180 ms). The dominant feature in the ERPs to both target categories in comparison with the standard is a frontal-central N2 (200–220 ms),

followed by a double-peaked P3 (270–360 ms). The earlier peak at 270 ms is more prominent frontocentrally, the later peak is prominent at parietal sites. TTI regularity in the averaged waveforms most strongly affects N2 and P3 amplitudes but is also seen as a P2 decrement and reduced N1 enhancement.

Curve Fitting. Response times were on average 905 ms (SD 200) and remained unaffected by the presence of patterns ($F = 0.22$, not significant), indicating that participants followed the delayed-response instruction.

N1 amplitudes were also insensitive to regularity ($F = 0.31$, not significant). All three subsequent components were found to be sensitive to patterns, showing amplitude effects that were best fitted with sigmoid curves: P2 ($F = 5.60$, $P < 0.005$), N2 ($F = 15.64$, $P < 0.0001$), and P3 ($F = 29.89$, $P < 0.0001$). The estimated turning points of these functions were all between the second and third target presentation in regular sequences. The effect strength gradually increased across components, indicative of either higher intra- and intersubject consistency at later timepoints or more stable single-trial estimates. Although the global effect could be well approximated with a sigmoid learning curve, the raw data expressed unique shape variations (Fig. 4 *Left*).

fMRI–Target Processing. Areas constantly contributing to target processing in a uniform fashion were found in the superior temporal gyri of both hemispheres extending into the insula and hippocampal formation, the inferior parietal lobe, anterior cingulate gyrus, supplementary motor area, pre- and postcentral gyri (left>right), cuneus, and the middle and superior frontal gyri (right>left), (Fig. 4 and Table 1, which is published as supporting information on the PNAS web site).

AM–Correlated fMRI. The entire spatiotemporal activation results for all four electrodes and timepoints, along with plots of the average scalp topography, waveform, and AM, were compiled into Movies 1 and 2, which are published as supporting information on the PNAS web site.

Here, we focus on the maxima of the three most consistent AM-correlated fMRI activation patterns: P2 (≈ 170 ms), N2 (≈ 200 ms), and P3 (≈ 320 ms).

Inverse relations between BOLD and AM on P2 were seen in posterior cingulate, precuneus, supramarginal gyri, left parietal, and frontal areas (Fig. 4; see also Table 2, which is published as supporting information on the PNAS web site). Inverse relations were also seen for N2, with the most consistent region across electrodes being located in the right medial frontal gyrus. Additional clusters were in the right and left superior frontal gyri, left subcallosal gyrus, left hippocampus, and right amygdala (Fig. 4; see also Table 3, which is published as supporting information on the PNAS web site). Note that these latter results stem from the Cz electrode, where the clusters pass FDR correction, but are also seen at FC1 and FC2 at a lower cluster extent threshold. We observed positive linear relations between BOLD responses and the P3 AM (≈ 320 ms), mainly in the middle and inferior frontal gyri, inferior parietal lobule, and middle temporal gyri in the right hemisphere. Smaller additional activations were also observed in the right insula, right postcentral gyrus, left supramarginal, and middle frontal gyri (Fig. 4; see also Table 4, which is published as supporting information on the PNAS web site). There was no consistent amplitude modulation of N1 (100 ms), such that it did not differ from the stimulus function and thus did not support a significant regression.

Except for a close spatial relationship between P2- and P3-related regions in the left supramarginal gyrus (mm distance X 0–3, y, 9; and z, 8), there was no considerable overlap among the AM activations. There was also no direct match between any of the AM- and target/response-related local maxima. Note that the delayed-response instruction used in this experiment effectively pruned the salient speeding of response times induced by target predictability.

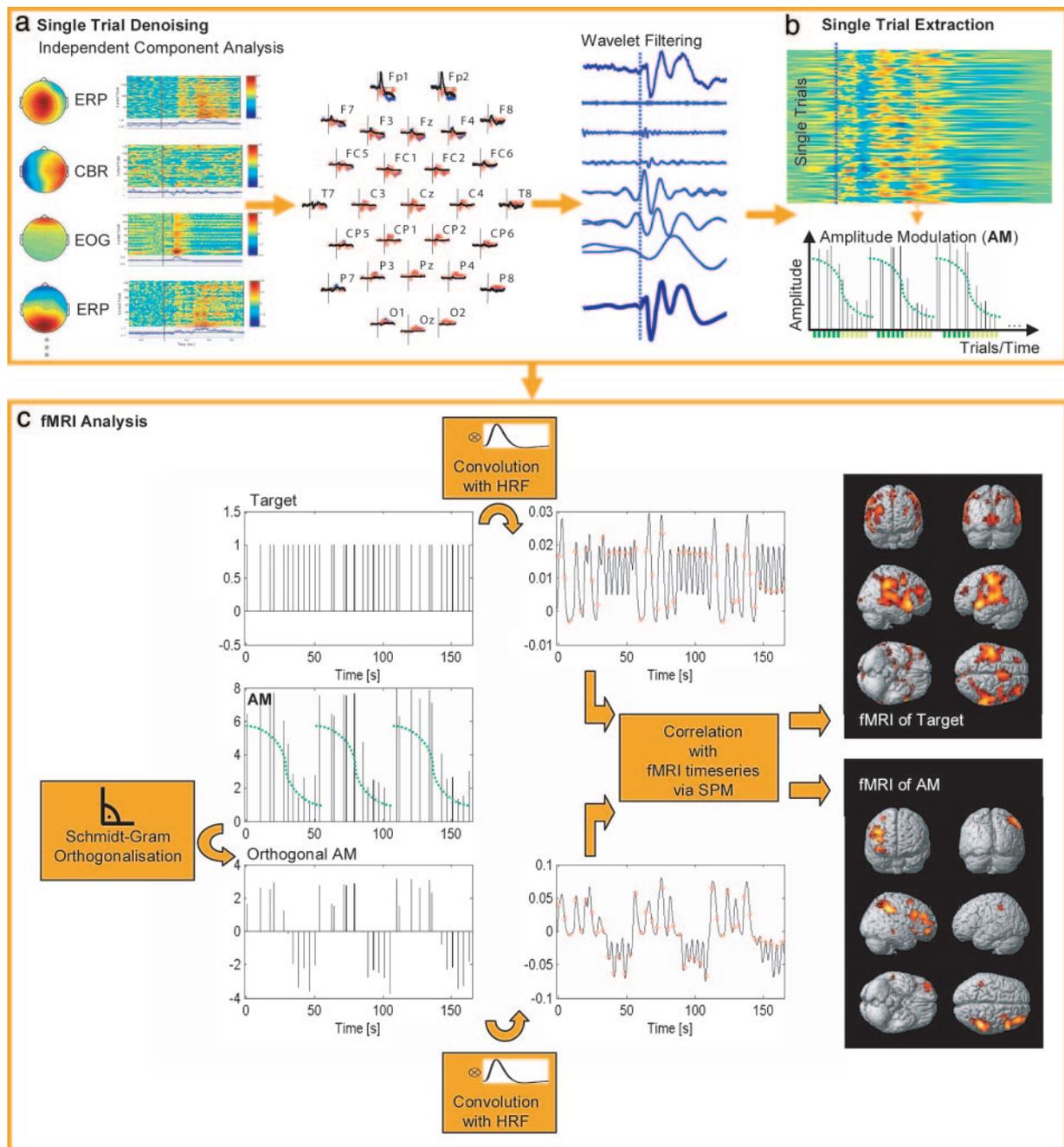


Fig. 2. Flowchart of the single-trial ERP and fMRI analysis. Data are decomposed with independent components analysis (a), and artifact topographies (cardiobalistic, eye movement) are removed. Effects of component removal on the ERPs are shown in a representative subject (*Upper Center*). Subsequently, wavelet denoising (b) is applied to the single trials. AM vectors are derived separately for each time point and electrode. To ensure specificity, shared variance between target presentation and AM is removed by orthogonalization. The regressors are convolved with canonical hemodynamic response functions (HRF) to account for the neurovascular coupling before voxelwise correlations with the fMRI signal (c).

Consequently, we did not observe ERP-related fMRI activation in areas in the motor system(s) that were found sensitive to sequence learning elsewhere (37, 38).

Discussion

When studying the neuronal substrates of cognitive processes, the researcher typically considers both their spatial and temporal properties. There is, however, a disparity between the major methods in human cognitive neuroimaging, focusing either on the “where” (e.g., fMRI) or “when” (e.g., ERPs), thus providing only a limited window into the neuronal correlates. We propose

here that a key to merging both methods is to exploit the functional resolution, that is, how signatures of an experimental manipulation are correlated. The crucial aspect of this approach for spatiotemporal integration is to make effective use of single-trial variability in the entire ERP time series to predict regional fMRI activations, i.e., using time-variant effects induced by a manipulation as a vehicle to achieve a temporal expansion of the fMRI. The prospect of this conjunction is that it allows application of an electrophysiologically derived temporal order to fMRI activation that aids in determining the hierarchy and “serial” functional connectivity of brain regions associated with

observed in the same brain regions as activated in the present study (38, 42). Moreover, intracranial recordings in the vicinity of these regions have documented depth N2s in the same peak latency range (6, 7). Further, the scalp N2 to auditory targets is strongly reduced in patients with bilateral hippocampal damage (44), and the sigmoid AM is consistent with recent fMRI findings showing rapid prefrontal and hippocampal habituation to novel events (45).

The overall strongest and most extensive spatiotemporal stage was related to the P3 (≈ 320 ms) and yielded activations in frontal, temporal, and parietal regions most prominent in the right hemisphere. For all these regions, intracranial recordings have evidenced depth P3s with about the same peak latency (5–7). P3 has been suggested to index a mechanism that is elicited when a memory representation of the recent stimulus context is updated upon detection of deviance from it (36, 46, 47). The effects of a variety of manipulations (e.g., task relevance, information content, probability, and sequence) have been delineated in support of this view (36, 46, 47). fMRI activation in the P3-related regions is seen in a variety of related cognitive operations, including target processing (12, 23, 41, 42, 48), attention, working memory (38, 49) and sequencing (37). Although the rightward lateralization is not strictly predicted from scalp (46, 47) and intracranial measurements (4–7), hemodynamic activity to auditory target/novel stimuli has been shown to be greater in frontal, temporal, and parietal regions of the right hemisphere (42, 50). Also, our data co-localize with fMRI studies reporting right-lateralized attentional mechanisms that would host much of the functionality that is probed by target detection in general (49, 51–53) and, specifically, by manipulating target predictability (54, 55). One should note, however, that a portion of the lateralization might also be attributable to the left-lateralized activation around the central sulcus induced by the motor task, which could have minimized the relative contribution of the predictability effect in adjacent areas to the total variance of the fMRI signal.

The common feature in all three sequential spatiotemporal stages was the sigmoid-shaped response amplitude modulation coherently expressed in the ERP and fMRI, because the target-to-target interval was repeated and became predictable. One classic psychophysiological example for such behavior is the orienting response/reflex, which displays rapid habituation to regularly presented stimuli and dishabituation to deviants from a pattern of preceding stimuli (56, 57). Similarly, this principal mode of responding is overlapping with that of the mismatch negativity (32) and P3 components (36, 42, 46, 58). At the core, all these neuronal processes encompass detection of a salient change in the environment, comparison against a stored representation, and the elicitation of an adequate response. Models accounting for these effects, however, to an extent are conceptually incomplete in the sense that they focus more on why and how the brain responds to unexpected events than on how the representation, i.e., a prediction, is established in the first place. This aspect, however, can be accounted for by linking these orienting response/reflex-type responses with a Bayesian scheme that defines neuronal systems as reciprocally connected hierarchical generative models that construct context-dependent expectancies (39). The amplitude behavior of ERP components (and, correspondingly, the fMRI signal) would here represent the state of prediction error in the model, indicating to which degree “surprise” about the sensory input is suppressed (39): to detect the presence (or absence) of patterns in the environment means to extract contingency rules with highly salient predictive value for the anticipation of future events (39, 55, 59). In this context, our data capture the spatiotemporal dynamics associated with such perceptual inference and learning.

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